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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/828,357      | 04/19/2004  | Bill J. Peck         | 10031095-1          | 4887             |

22878 7590 07/12/2007  
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EXAMINER

FORMAN, BETTY J

ART UNIT PAPER NUMBER

1634

MAIL DATE DELIVERY MODE

07/12/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

Application No.

10/828,357

Applicant(s)

PECK ET AL.

Examiner

BJ Forman

Art Unit

1634

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 27 April 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) 25-33 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

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## **DETAILED ACTION**

### ***Status of the Claims***

1. This action is in response to papers filed 27 April 2007 in which claims 1, 11 were amended. The amendments have been thoroughly reviewed and entered. The previous rejections in the Office Action dated 29 January 2007, not reiterated below, are withdrawn in view of the amendments and/or new grounds for rejection.

Applicant's arguments have been thoroughly reviewed and are discussed below. New grounds for rejection are discussed.

The examiner for this application has changed. Please address future correspondence to Examiner BJ Forman, Art Unit: 1634.

Claims 25-33 are withdrawn from prosecution.

Claims 1-24 are under prosecution.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 11-24 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 11-24 are indefinite in Claim 11 and 24 because the claims are drawn to a method of fabricating an array having features of different sizes. However, the steps of the method do not produce different sized spots. The claims, as written, encompass activating a single ejector to deposit a volume. The claims do not define multiple features, different sized features, a deposition surface or fabrication of any array. Therefore, it is unclear whether the method step accomplishes the asserted purpose of array fabrication.

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Claim 24 is further indefinite in line 1 for the recitation "with multiple features if different sizes". The recitation is unclear because "if" appears to be misplaced.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claim 24 is rejected under 35 U.S.C. 102(b) as being anticipated by Fujimori (Fujimori et al., 2001, US 6328404 B1).

Regarding Claim 24, Fujimori teaches a method of making a chemical array with multiple, different size features wherein modulating wavelength to orifice ejector(s) results in different volumes being dispensed because of high voltage activation on piezoelectric element. Fujimori teaches methods of making different sizes of dots such as the small, medium and large dots, as explained above, by controlling driving waveforms (column 12, lines 9-20; column 13, lines 18-31 and Figure 6).

**Response to Arguments**

6. Applicant asserts that Fujimori fails to teach an array of biopolymeric ligands and therefore fails to anticipate the claimed invention. The assertion is noted, however Claim 24 is

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not drawn to biopolymeric ligands. Therefore the argument is not commensurate in scope with the claim. The rejection is maintained.

7. Claims 11 and 23 are rejected under 35 U.S.C. 102(a) as being anticipated by Hsieh (Hsieh et al., March 2004, J. of Biomolecular Screening 9: 85-94).

Regarding Claim 11, Hsieh teaches printing various sizes of an different composition with a jet printing device wherein its piezoelectric ejectors are controlled by waveforms to influence droplet size of solution containing dye, buffer, DNA, cells and BSA-conjugated oligonucleotides (page 86 "Design and fabrication of the ejector" section; page 90, left column, paragraphs 1 and 2, Figures 2 and 3).

Regarding Claim 23, Hsieh teaches a method of printing an array with various number of drops of various solutions (Figure 4). When Hsieh prints cells and DNA/BSA solution using the piezoelectric ejectors Hsieh inherently teaches printing a peptide array (page 91, "3. Ejecting human cells" section; page 92, "4. Printing array with BSA-conjugated oligonucleotides" section). Claims 10 and 23 are interpreted as a method of printing an array with solutions that contain peptides. Cells contain polypeptides and BSA is a polypeptide and therefore Hsieh teaches all the limitation of the instant claims.

#### **Response to Arguments**

8. Applicant asserts that Hsieh fails to teach determination of an array layout and therefore fails to anticipate the claimed invention. The assertion is noted, however Claim 11 is not drawn to a chemical layout. Therefore the argument is not commensurate in scope with the claim. The rejection is maintained.

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9. Claims 11-18, 22, 24 are rejected under 35 U.S.C. 102(e) as being anticipated by Hirota et al (U.S. Patent No. 6,753,144, filed 21 June 2001).

Regarding Claim 11, Hirota et al disclose a method for fabricating an array of biopolymers with different feature sizes (Fig. 14), the method comprising modulating a waveform to at least one orifice ejector (discharge port, #54, Fig. 6) to dispense volumes of fluid from the orifice wherein the volume is based on modulated waveform (Column 11, lines 62-Column 12, line 45, Fig. 9).

Regarding Claim 12, Hirota et al disclose the method wherein multiple spots of different sizes are produced via deposition of different volumes, which is controlled by voltage waveform (Column 11, lines 13-35).

Regarding Claim 13, Hirota et al disclose the method wherein the sample reservoirs are aligned above the discharge ports, each to discharge differing fluids (Column 10, lines 10-20) and further exemplify spots of different size having the same composition (Column 15, lines 24-35, Fig. 14B). Hence, the reference anticipates deposition of spots having different size from the same orifice.

Regarding Claim 14, Hirota et al disclose the method wherein the sample reservoirs are aligned above the discharge ports, each to discharge differing fluids (Column 10, lines 10-20) and further exemplify spots of the same size having the same composition (Column 15, lines 8-23, Fig. 14A). Hence, the reference anticipates deposition of spots having different size from a different orifice.

Regarding Claim 15, Hirota et al disclose the method wherein the dispensers deposit spots of different volume based on waveform applied to each dispenser (Column 12, lines 13-35).

Regarding Claim 16, Hirota et al disclose the method wherein differing waveforms are applied to a dispenser for dispensing different volumes from the same dispenser (Column 12, lines 13-45).

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Regarding Claim 17, Hirota et al disclose the method wherein differing waveforms are applied to each dispenser for dispensing different volumes from the different dispensers (Column 12, lines 13-45).

Regarding Claim 18, Hirota et al disclose the method wherein the modulating step includes an activation signal (Column 12, lines 13-17).

Regarding Claim 22, Hirota et al disclose the method fabricates an nucleic acid array (Column 6, lines 30-45).

Regarding Claim 24, Hirota et al disclose a method for fabricating an array with different feature sizes (Fig. 14), the method comprising modulating a waveform to at least one orifice ejector (discharge port, #54, Fig. 6) to dispense volumes of fluid from the orifice wherein the volume is based on modulated waveform (Column 11, lines 62-Column 12, line 45, Fig. 9).

***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claims 1-10, 19-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hirota et al (U.S. Patent No. 6,753,144, filed 21 June 2001) in view of Blanchard (U.S. Patent No. 6,419,883, issued 16 July 2002).

Regarding Claim 1, Hirota et al disclose a method for fabricating an array of biopolymers with different feature sizes (Fig. 14), the method comprising modulating a waveform to at least one orifice ejector (discharge port, #54, Fig. 6) to dispense volumes of fluid from the orifice wherein the volume is based on modulated waveform (Column 11, lines 62-

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Column 12, line 45, Fig. 9). Hirota et al disclose the method wherein the array is fabricated by desired arrangements (Abstract, Column 4), which clearly suggests a planned layout is provided prior to fabrication. Hirota et al do not specifically teach layout determination.

However, determining a layout prior to array fabrication was well known and routinely practiced in the art at the time the claimed invention was made as taught by Blanchard (§ 5.5.2). Blanchard teaches software and hardware used to provide waveform signals for fabricating the array thereby providing a fully automated and efficient system for array fabrication (Column 3, lines 50-55 and Column 4, lines 19-50). It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to apply the programmed synthesis of Blanchard to the array construction of Hirota et al. One of ordinary skill in the art would have been motivated to do so with a reasonable expectation of success and for the added benefit of providing a fully automated and efficient system for array fabrication as taught by Blanchard (Column 3, lines 50-55 and Column 4, lines 19-50).

Regarding Claim 2, Hirota et al teach the method wherein at least two features have different size (Fig. 14).

Regarding Claim 3, Hirota et al teach the method wherein the two features of different size have the same probe composition (Fig. 14B).

Regarding Claim 4, Hirota et al teach the method wherein the two features of different size have differing probe composition (Fig. 14A).

Regarding Claim 5, Hirota et al teach the method wherein the fabrication is via fluid drop deposition (Column 12, lines 13-45).

Regarding Claim 6, Hirota et al teach the method wherein the fluid deposition uses at least one head and comprises modulating an activation signal for each ejector (Column 12, lines 13-45).

Regarding Claim 7, Hirota et al teach the method wherein the deposition is completely controlled to produce drops of desired and differing size (Column 12, lines 26-45), which clearly



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suggests using a processor for complete control, but the reference is silent regarding use of a processor.

However, programmed deposition for array fabrication was well known and routinely practiced in the art at the time the claimed invention was made as taught by Blanchard (§ 5.5.2). Blanchard teaches software and hardware used to provide waveform signals for fabricating the array thereby providing a fully automated and efficient system for array fabrication (Column 3, lines 50-55 and Column 4, lines 19-50). It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to apply the programmed synthesis of Blanchard to the array construction of Hirota et al. One of ordinary skill in the art would have been motivated to do so with a reasonable expectation of success and for the added benefit of providing a fully automated and efficient system for array fabrication as taught by Blanchard (Column 3, lines 50-55 and Column 4, lines 19-50).

Regarding Claim 8, Hirota et al teach the method wherein the ejector is a piezoelectric ejector (Column 11, lines 20-24). And Blanchard teach the similar method wherein the ejector is a piezoelectric ejector (Column 5, lines 1-2).

Regarding Claim 9, Hirota et al disclose the method fabricates an nucleic acid array (Column 6, lines 30-45). And Blanchard teaches the similar method produces a nucleic acid array (Column 6, lines 6-30).

Regarding Claim 10, Blanchard teaches the similar method produces a peptide array (Column 6, lines 6-30).

Regarding Claim 19, Hirota et al disclose a method of Claim 11 for fabricating an array of biopolymers with different feature sizes (Fig. 14), the method comprising modulating a waveform to at least one orifice ejector (discharge port, #54, Fig. 6) to dispense volumes of fluid from the orifice wherein the volume is based on modulated waveform (Column 11, lines 62-Column 12, line 45, Fig. 9) and further teach the method wherein the modulating step includes an activation signal (Column 12, lines 13-17) wherein the deposition is completely controlled to

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produce drops of desired and differing size (Column 12, lines 26-45), which clearly suggests using a database/processor for complete control, but the reference is silent regarding use of a database.

However, programmed deposition for array fabrication was well known and routinely practiced in the art at the time the claimed invention was made as taught by Blanchard (§ 5.5.2). Blanchard teaches software and hardware used to provide waveform signals for fabricating the array thereby providing a fully automated and efficient system for array fabrication (Column 3, lines 50-55 and Column 4, lines 19-50). It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to apply the programmed synthesis of Blanchard to the array construction of Hirota et al. One of ordinary skill in the art would have been motivated to do so with a reasonable expectation of success and for the added benefit of providing a fully automated and efficient system for array fabrication as taught by Blanchard (Column 3, lines 50-55 and Column 4, lines 19-50).

Regarding Claim 20-21, Hirota et al teach the method produces a nucleic acid array (Column 6, lines 36-40) but the reference does not teach in situ synthesis using phosphoramidite fluid and activator fluid. However, in situ synthesis was well known and routinely practiced at the time the claimed invention was made as taught by Blanchard.

Blanchard teaches the method deposits droplets of phosphoramidites and activator (Column 13, line 40-Column 14, lines 67) wherein the dispenser provides the defined reagents accurately for simple and direct synthesis (Column 10, lines 28-45). It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to apply the in situ synthesis of Blanchard to the device and method of Hirota et al. One of ordinary skill in the art would have been motivated to do so for the expected benefit of simple and direct synthesis of probe spots having differing sizes as desired by Hirota et al.

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Regarding Claim 22, Hirota et al disclose the method fabricates an nucleic acid array (Column 6, lines 30-45). And Blanchard teaches the similar method produces a nucleic acid array (Column 6, lines 6-30).

Regarding Claim 23, Blanchard teaches the similar method produces a peptide array (Column 6, lines 6-30).

### **Conclusion**

12. No claim is allowed.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to BJ Forman whose telephone number is (571) 272-0741. The examiner can normally be reached on 6:00 TO 3:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on (571) 272-0735. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

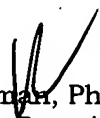
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For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

  
BJ Forman, Ph.D.  
Primary Examiner  
Art Unit: 1634  
July 3, 2007